

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. § 371

09/424966

520 Rec'd PCT/PTO 30 NOV 1999

U.S. APPLICATION NO. (If known, see 37 C.F.R. § 1.5): Unassigned

INTERNATIONAL APPLICATION NO. PCT/JP98/00963	INTERNATIONAL FILING DATE March 9, 1998	PRIORITY DATE CLAIMED Japanese Patent Application No. 9-142575 filed on May 30, 1997
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TITLE OF INVENTION: SEMICONDUCTOR DEVICE AND DRIVING METHOD THEREOF

APPLICANT(S) FOR DO/EO/US: Akihide SHIBATA and Hiroshi IWATA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. § 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. § 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. § 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. § 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. § 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. § 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. § 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).

## Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 C.F.R. §§ 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. §§ 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: Return receipt postcard.

## CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail Label No.: EL053908659US

Date of Deposit: November 30, 1999

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Gary Paulazzo

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U.S. APPLICATION NO. (If known, see 37 C.F.R. § 1.5) To-Be Assigned <div style="font-size: 24pt; font-weight: bold; margin-top: 5px;">09/424966</div>		INTERNATIONAL APPLICATION NO. PCT/JP98/00963 NUMBER: 247322001700	
17.* <input type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 C.F.R. §§ 1.492(a)(1)-(5)):</b> Search Report has been prepared by the EPO or JPO ..... \$840.00 International preliminary examination fee paid to (USPTO (37 C.F.R. § 1.482))..... \$670.00 No international preliminary examination fee paid to USPTO (37 C.F.R. § 1.482) but international search fee paid to USPTO (37 C.F.R. § 1.445(a)(2))..... \$760.00 Neither international preliminary examination fee (37 C.F.R. § 1.482) nor international search fee (37 C.F.R. § 1.445(a)(2)) paid to USPTO ..... \$970.00 International preliminary examination fee paid to USPTO (37 C.F.R. § 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..... \$96.00		<b>CALCULATIONS PTO USE ONLY</b>	
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		\$970.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(e)).		\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	45 - 20 =	25	x \$18.00
Independent claims	4 - 3 =	1	x \$78.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>		\$ 788.00	
Reduction by ½ for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 C.F.R. §§ 1.9, 1.27, 1.28)		\$ 0.00	
<b>SUBTOTAL =</b>		\$ 788.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(f)).		+ \$ 0.00	
<b>TOTAL NATIONAL FEE =</b>		\$ 788.00	
Fee for recording the enclosed assignment (37 C.F.R. § 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. §§ 3.28, 3.31). \$40.00 per property		+ \$ 0.00	
<b>TOTAL FEES ENCLOSED =</b>		\$ 788.00	
		Amount to be refunded:	\$ 0.00
		charged:	\$ 1,758.00
a. <input checked="" type="checkbox"/> A check in the amount of \$1,758.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my <u>Deposit Account No. 03-1952</u> in the amount of \$* to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment to <u>Deposit Account No. 03-1952</u> .			
<b>NOTE: Where an appropriate time limit under 37 C.F.R. § 1.494 or 1.495 has not been met, a petition to revive          (37 C.F.R. § 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>			
SEND ALL CORRESPONDENCE TO:			
Thomas E. Ciotti Morrison & Foerster LLP 755 Page Mill Road Palo Alto, California 94304-1018		<div style="font-size: 24pt; font-family: cursive; margin-bottom: 5px;">Thomas E. Ciotti</div> SIGNATURE	
		Thomas E. Ciotti <u>Registration No. 21,013</u>	